



IFW

Docket No.: SON-3123  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Kenichiro Aridome et al

Application No. 10/531,950

Confirmation No. 8804

Filed: April 19, 2005

Art Unit: 2615

For: ENCODING CONTROLLING APPARATUS  
AND ENCODING SYSTEM

Examiner: Not Yet Assigned

**THIRD INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98 and supplementing the two prior IDS documents submitted at filing and on December 1, 2005, the Applicant, through its representatives and attorneys, hereby brings to the attention of the Patent and Trademark Office the additional information listed on the attached Form PTO-1449. Copies are provided. This submission is timely in that it is made prior to a first action on the merits of this application. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This will also certify that each item of information contained in this Third Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Specifically, these documents were noted in a Search and Written Opinion of the Australian Patent Office in connection with a corresponding SG Application dated December 112, 2005. A copy of those papers is here provided.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THRU

Document D1 in the SG report, US 2003/0021298 was cited as document AA in the IDS of December 1, 2005. Document D2 in the SG report, US 5854873 was cited as document AA in the IDS at filing. Thus, neither of these documents in duplicatively provided. However, although JP 11-110915 was cited as document BB in the IDS as filed, it appears that a machine translation was provided with the SG search report. Thus, it is submitted for its machine translation.

Please take the documents and information cited in these three Information Disclosure Statements into account, along with the information in the application as filed in the Background of the Invention, and make their consideration of record.

Dated:

2/3/06

Respectfully submitted,

By

Ronald P. Kananen

Registration No.: 24,104

RADER, FISHMAN & GRAUER PLLC

1233 20th Street, N.W.

Suite 501

Washington, DC 20036

(202) 955-3750

Attorney for Applicant



PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete If Known</b>	
				Application Number	10/531,950-Conf. #8804
				Filing Date	April 19, 2005
				First Named Inventor	Kenichiro Aridome
				Art Unit	2615
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SON-3123

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA*	US-5,854,873	12-29-1998	Mori et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-11-110915	04-23-1999	Sony Corporation		√

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Australian Patent Office Search Report, Dated December 5, 2005	
	CB	Australian Patent Office Written Opinion, Dated December 5, 2005	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.A./

Examiner Signature	/Jamie Atala/	Date Considered	04/09/2009
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